C&E Charges by Product	Clearing Fee	Execution Fee
Equities	\$25	None if through 3rd Markets*
		Market Orders on Listed Exchange005 per share
		All other orders on Listed Exchange01 per share
Mutual Fund	\$25	None
		Systematic Reinvestment Contributions
		Exchanges w/Participating Funds
UITs		None
Alternative Investments		None
Fixed Income		Corporate Listed - \$1 per Bond
Foreign Settlements		None
Mortgage Backed CMOs		None
Options	\$25	1.00 per contract
PIPs/SWIPs	\$5	None
Precious Metals	\$75	None

<u>Client Charges</u>	<u>NFS</u>	
Alternative Investments	\$50	
	\$35-Registered Product	
	(max charge of \$500)	
	\$125-Non-registered Product	
Alternative Investment Annual Fee	(max charge of \$500)	
Alternative Investment Transfer Re-registration Fee	\$50	
Cash Management: Select Access	· ·	ACH, Direct Deposit or Debit & Bill Pay
	plus \$5/yr for checking and	
	\$5/yr for Visa Debit	
Cash Management: Premier Access	\$100/yr	
Checks-Bounced or Returned	\$25	
Checks-Stop Payment	\$25	
Client ACAT Fee/TOA Delivery Fee	\$100	
Confirm Fee	\$9.50	
Foreign Exchange Transaction	\$15	
Foreign Settlement Service Fee	Contact KSI Trading for pricing	
Inactive Account Fee	\$65	
Legal Transfer	\$150	
Mailgrams	\$10	
Mutual Fund Conversion Fee	\$2.75	per conversion
Mutual Fund Surcharge	\$10	
Overnight Delivery (FedEx)	\$45	
Precious Metals Storage Fee	50 bps per year	
Retirement Account Annual Fee	\$35	
Retirement Termination Fee	\$125	
Trade/Margin Extension	\$25	
Turunafan 8 Chin Staale Cautificataa	\$45 for DRS eligible securities, stock is delivered to Transfer Agent \$500	
Transfer & Ship Stock Certificates	for non-DRS eligible securities	
Type 4 or 5 Securities (safekeeping)	\$10 per month	
Wired (FED) Funds	\$30	

Rep Charges	<u>NFS</u>	
CD Rom Statements	\$15	Available Upon Request
Client Statement-Annuity Postings	\$8/account	
DBA Logo on Client Statement	\$500 (one time fee)	
D/K Charges	\$30	
Foreign New Account AML Verification	Available Upon Request	
Legal Return	\$75	
Physical Re-Org	\$150	
Real Time Quotes	Click here for more information	
Trade Corrections	\$30 plus any loss	
Trailer Processing Fee	.65 per item	
Wealthscape Access (Rep Access)	\$50	