

## NFS Brokerage Fee Schedule (3/4/25)

[illegible]

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|  | <b><u>Client Charges</u></b>                        | <b><u>NFS</u></b>  |   |  |
|--|---|--|---|--|
|  | Alternative Investments                             | \$50   |   |  |
|  | Alternative Investment Annual Fee                   | \$35-Registered Product<br>(max charge of \$500)<br>\$125-Non-registered Product<br>(max charge of \$500)          |   |  |
|  | Alternative Investment Transfer Re-registration Fee | \$50   |   |  |
|  | Cash Management: Select Access                      | \$10/yr  | ACH, Direct Deposit or Debit & Bill Pay |  |
|  |   | plus \$5/yr for checking and<br>\$5/yr for Visa Debit  |   |  |
|  | Cash Management: Premier Access                     | \$100/yr   |   |  |
|  | Checks-Bounced or Returned                          | \$25   |   |  |
|  | Checks-Stop Payment                                 | \$25   |   |  |
|  | Client ACAT Fee/TOA Delivery Fee                    | \$100  |   |  |
|  | Confirm Fee   | \$9.50   |   |  |
|  | Foreign Exchange Transaction                        | \$15   |   |  |
|  | Foreign Settlement Service Fee                      | Contact KSI Trading for pricing  |   |  |
|  | Inactive Account Fee                                | \$65   |   |  |
|  | Legal Transfer                                      | \$150  |   |  |
|  | Mailgrams   | \$10   |   |  |
|  | Mutual Fund Conversion Fee                          | \$2.75   | per conversion                          |  |
|  | Mutual Fund Surcharge                               | \$10   |   |  |
|  | Overnight Delivery (FedEx)                          | \$45   |   |  |
|  | Precious Metals Storage Fee                         | 50 bps per year  |   |  |
|  | Retirement Account Annual Fee                       | \$35   |   |  |
|  | Retirement Termination Fee                          | \$125  |   |  |
|  | Trade/Margin Extension                              | \$25   |   |  |
|  | Transfer & Ship Stock Certificates                  | \$45 for DRS eligible securities, stock<br>is delivered to Transfer Agent \$500<br>for non-DRS eligible securities |   |  |
|  | Type 4 or 5 Securities (safekeeping)                | \$10 per month   |   |  |
|  | Wired (FED) Funds                                   | \$30   |   |  |
|  |   |  |   |  |
|  |   |  |   |  |

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|  | <b><u>Rep Charges</u></b>            | <b><u>NFS</u></b>                               |                        |  |
|--|--------------------------------------|---|------------------------|--|
|  | CD Rom Statements                    | \$15  | Available Upon Request |  |
|  | Client Statement-Annuity Postings    | \$8/account                                     |                        |  |
|  | DBA Logo on Client Statement         | \$500 (one time fee)                            |                        |  |
|  | D/K Charges                          | \$30  |                        |  |
|  | Foreign New Account AML Verification | Available Upon Request                          |                        |  |
|  | Legal Return                         | \$75  |                        |  |
|  | Physical Re-Org                      | \$150   |                        |  |
|  | Real Time Quotes                     | <a href="#">Click here for more information</a> |                        |  |
|  | Trade Corrections                    | \$30 plus any loss                              |                        |  |
|  | Trailer Processing Fee               | .65 per item                                    |                        |  |
|  | Wealthscape Access (Rep Access)      | \$50  |                        |  |