

Pershing Brokerage Fee Schedule (3/4/25)

[illegible]

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<u>Client Charges</u>	<u>Pershing</u>	
Alternative Investments	\$50	
Alternative Investment Annual Fee	\$35-Registered Product (no max charge) \$125 Non-registered Product (no max charge)	
Alternative Investment Transfer Re-registration Fee	\$50	
Bond Redemption	\$10 per event	
Check Request Ad Hoc (First or Third party)	\$10 per check	
Checks-Bounced or Returned	\$25	
Checks-Stop Payment	\$15	
Client ACAT Fee/TOA Delivery Fee	\$100	
Confirm Fee	\$12.50	
Corestone Silver	\$50	
Corestone Gold	\$100	
Corestone Platinum	\$150	
Foreign Exchange Transaction	\$15	
Foreign Receive & Delivery Fee	\$75 per foreign security	
Foreign Settlement Service Fee	Contact KSI Trading for pricing	
Inactive Account Fee	\$65	
Legal Transfer	\$150	
Margin & COD Extensions	\$10 per event	
Mutual Fund Conversion	\$7.00	per conversion
Mutual Fund Surcharge	\$10 per event	
Non-U.S. ("Foreign") Account Fee	\$50 per account, per year	
Overnight Delivery (FedEx)	\$12	
Overnight Saturday Delivery (FedEx)	\$18	
Paper Tax Document Fee	\$10 per account, per year	
Precious Metals Storage Fee	75 bps per year	
Puerto Rico Tax Reporting Fee	\$50 per account, per year	
Qualified Plan Account Annual Fee	\$75	
Qualified Plan Account Termination Fee	\$100	
Reorganizations Mandatory Fee	\$10	
Reorganizations Voluntary	\$50	
Retirement Account Annual Fee	\$48.50	
Retirement Termination Fee	\$95	
Returned ACH	\$10	

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Safekeeping	\$10/month	
Transfer & Ship Stock Certificates	\$45 for DRS eligible securities, stock is delivered to Transfer Agent \$500 for non-DRS eligible securities	
Type 4 or 5 Securities (safekeeping)	\$5 per position/per month	
Wired (FED) Funds	\$35	
<u>Rep Charges</u>	<u>Pershing</u>	
Books and Records	\$1.50/item	
Bond Redemption Fee	\$10 per event	
Braille/Large Print	\$30 / \$23 per statement	
DBA Logo on Client Statement	\$500 (one time fee)	
D/K Charges*	\$25 (per item for US) + \$10 per day \$10 (per item/per day for non-US)	
Enhanced Supervision Fee	\$200 (per occurrence)	
Forced Statement	\$1 per statement	
Foreign New Account AML Verification	Available Upon Request	
NetX360	\$50	
Premium Content	Contact Account Services for more information	
Trade Corrections	\$30 plus any loss	
Trade Extensions	\$25	
* subject to interest		