

2026 PERSHING BROKERAGE FEE SCHEDULE



CLIENT CHARGES	PERSHING	NOTES
Alternative Investments	\$ 50.00	
Alternative Investment Annual Fee	\$ 150.00	
Alternative Investment Transfer Re-registration Fee	\$ 50.00	
Bond Redemption	\$ 10.00	Per Event
Check Request Ad Hoc (First or Third Party)	\$ 10.00	Per Check
Checks—Bounced or Returned	\$ 25.00	
Checks—Stop Payment	\$ 15.00	
Client ACAT Fee / TOA Delivery Fee	\$ 200.00	
Corestone Silver	\$ 50.00	
Corestone Gold	\$ 100.00	
Corestone Platinum	\$ 150.00	
Foreign Exchange Transaction	\$ 15.00	
Foreign Receive and Delivery Fee	\$ 75.00	Per Foreign Security
Foreign Settlement Service Fee		Contact Your Financial Professional
Inactive Account Fee	\$ 65.00	
Legal Transfer	\$ 150.00	
Margin and COD Extensions	\$ 10.00	Per Event
Mutual Fund Conversion	\$ 7.00	Per Conversion
Mutual Fund Surcharge	Up to \$20.00	Per Event
Non-U.S. ("Foreign") Account Fee	\$ 75.00	Per Account / Per Year
Overnight Delivery (FedEx)	\$ 25.00	
Overnight Saturday Delivery (FedEx)	\$ 30.00	
Paper Tax Document Fee	\$ 10.00	Per Account / Per Year
Precious Metals Storage Fee	75 bps	Per Year
Puerto Rico Tax Reporting Fee	\$ 75.00	Per Account / Per Year
Qualified Plan Account Annual Fee (401k)	\$ 150.00	
Qualified Plan Account Termination Fee (401k)	\$ 150.00	
Reorganizations Mandatory Fee	\$ 10.00	
Reorganizations Voluntary	\$ 50.00	
Retirement Account Annual Fee (IRA)	\$ 75.00	
Retirement Termination Fee (IRA)	\$ 150.00	
Returned ACH	\$ 10.00	
Safekeeping	\$ 10.00	Per Month
Service Fee	Up to \$37.50*	
Transfer and Ship Stock Certificates	\$ 45.00	For DRS Eligible Securities
		Stock is Delivered to Transfer Agent
	\$ 500.00	For non-DRS Eligible Securities
Type 4 or 5 Securities (Safekeeping)	\$ 5.00	Per Position / Per Month
Wired (FED) Funds	\$ 35.00	

* The following fee may apply, contact your Financial Professional for additional details.

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